DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TTORNEY'S DOCKET NUMBER

9138-0032

INTERNATIONAL APPLICATION N	0.
PCT/US99/23012	

FORM PTO-1390 (REV 10-2000)

CONCERNING A FILING UNDER 35 U.S.C. 371			NAUY/806540		
INTERNATIONAL APPLICATION NO. PCT/US99/23012		INTERNATIONAL FILING DATE 01 October 99	PRIORITY DATE CLAIMED 01 October 98		
TITLE OF INVENTION Magnetic Switching of Charge Separation Lifetimes in Artificial Photosynthetic Reaction Centers					
APPLICANT(S) FOR DO/EO/US Gust, John D., Jr., Moore, Ana L., & Moore, Thomas A.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. 🗹	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.				
2. \square	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.				
3.	This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).				
4.	The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).				
5. 🛂	The state of the s				
a. is attached hereto (required only if not communicated by the International Bureau).					
		d by the International Bureau.	iving Office (PO/LIS)		
c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
7.	7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).				
 a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. 					
	ments has NOT expired.				
	d. have not been made; however, the time limit for making such amendments has NO1 expired.				
8. 🔲	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. 🔽	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10.	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 16 below concern document(s) or information included:					
11.	An Information Disclosure State	ement under 37 CFR 1.97 and 1.98.			
12.	An assignment document for rec	cording. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.		
13.	A FIRST preliminary amendment.				
	A SECOND or SUBSEQUENT preliminary amendment.				
14.	A substitute specification.				
15.	A change of power of attorney and/or address letter.				
16.	Other items or information:				

JC08_Rec'd PCT/PTO U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. N/A PCT/US99/23012 9138-0032 17. The following fees are submitted: CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO · International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO......\$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = **\$** 100. Surcharge of \$130.00 for furnishing the oath or declaration later than \$ 0 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims X \$18.00 - 20 = \$ Independent claims X \$80.00 \$ 0 -3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ + \$270.00 0 \$ 100. TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above 50. are reduced by 1/2. 50. Processing fee of \$130.00 for furnishing the English translation later than \[\] 20 _] 30 S months from the earliest claimed priority date (37 CFR 1.492(f)). \$ TOTAL NATIONAL FEE Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **\$** 50. TOTAL FEES ENCLOSED Amount to be refunded: charged: a. 🔽 A check in the amount of \$50.00 to cover the above fees is enclosed. Please charge my Deposit Account No. -__ in the amount of \$__ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. 📝 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 070135 . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR

1.137(a) or (b)) must be filed and granted to restore the application to pending status;

SEND ALL CORRESPONDENCE TO:

Thomas D. MacBlain Gallagher & Kennedy 2575 East Camelback Road Phoenix, AZ 85016

Thomas D. MacBlain

NAME

24,583

REGISTRATION NUMBER

Certificate of Mailing by Express Mail Rec'd PCT/PTO 3 0 MAR 2001

I certify that these documents are being deposited on March 30, 2001 with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and is addressed to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Bertha Woodward

Typed or Printed Name of Person Mailing Correspondence

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U.S. Express Mail Label No.

File No. 9138-32

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :

Gust et al.

Examiner:

International

Application No.:

PCT/US99/23012

Art Unit:

International

Filing Date

01 October 99

Priority Date

Claimed

01 October 98

For

Magnetic Switching of Charge Separation

Lifetimes in Artificial Photosynthetic

Reaction Centers

Date: March 30, 2001

LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please note that a Power of Attorney accompanies the attached Combined Declaration executed by all inventors.

Please address all correspondence to:

Thomas D. MacBlain
Gallagher & Kennedy
2575 East Camelback Road
Phoenix AZ 85016

Respectfully submitted,

GALLAGHER & KENNEDY

Date:

3/30/01

Zhomas D. MacBlain

Patent Office Reg. No. 24,583

Attorney for Applicant 602-530-8088

915200

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10.

I hereby certify that this document (and any as referred to as being attached or enclosed) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service, mailing label No. **EL915426956US on August 9, 2001** and addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Jeanneette A. Estrada, Legal Secretary